



Henry Nelson Massey

Partner

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OVERVIEW

Henry "Hank" Massey counsels family-owned and other closely-held businesses on mergers and acquisitions, succession planning and day-to-day legal issues. He also assists investment advisers and broker-dealers, and aids with the formation and operation of investment funds. In addition, he represents emerging companies with their organization and capital raising. Hank's broad experience with issues faced by business owners allows him to give practical advice and effectively work as a "quarterback," coordinating Day Pitney's legal resources and experience, as needed, to help clients achieve their goals. Hank is co-chair of Day Pitney's Investment Management and Private Funds service group, and chair of Day Pitney's Entrepreneurs and Emerging Companies service group.

EXPERIENCE

Prior results do not guarantee a similar outcome.

Representation of a fixed income manager in the sale of a minority interest to a multi-asset class manager

Representation of emerging companies, including those relating to Web 2.0, computer software and high-tech devices

Representation of closely held registered investment advisory firms, including regulatory, corporate, contractual, hedge fund and Investment Adviser Act matters

Representation of "angel" investors in biotech and other early-stage companies

Representation of management in the buyout of subsidiaries

Representation of a major franchisee in the acquisition of a series of independent outlets

Representation of a sponsor of a real estate private equity "fund of funds"

Representation of closely held companies considering or recently consummating sales of their businesses or purchases of other businesses for amounts ranging from \$25 million to \$250 million

SERVICES

CORPORATE AND BUSINESS LAW

ENTREPRENEURS AND EMERGING COMPANIES

INVESTMENT MANAGEMENT AND PRIVATE FUNDS

MERGERS, ACQUISITIONS AND JOINT VENTURES

PRIVATE COMPANIES

PRIVATE EQUITY AND VENTURE CAPITAL

PUBLIC COMPANIES, SECURITIES AND CAPITAL MARKETS

INDUSTRIES

INVESTMENT MANAGEMENT COMPLIANCE SERVICES

INSIGHTS

KEY CORPORATE GOVERNANCE POINTS FOR THE BOARD OF A PRIVATELY HELD COMPANY RELATING TO THE COVID-19 PANDEMIC

Co-author, April 6, 2020, *Day Pitney Advisory*

SEC PROPOSES RIA SUCCESSION REQUIREMENTS

Co-author, July 6, 2016, *Day Pitney Alert*

RED FLAGS 2015: BROKER-DEALER AND INVESTMENT ADVISER HOT TOPICS

Speaker, November 5, 2015, New York, NY

SPEAKER, "AGREEMENTS AMONG OWNERS OF A CLOSELY-HELD BUSINESS: CONCEPTUAL FRAMEWORK AND PRACTICAL IMPLICATIONS," MORRIS ESSEX INN OF TRANSACTIONAL COUNSEL MONTHLY MEETING, SEPTEMBER 9, 2015

SEC'S DATING ADVICE FOR INTERNET PLATFORMS AND ITS IMPACT

Co-author, August 19, 2015, *Law360*

NEWS

CHAMBERS USA 2023 RANKS 35 DAY PITNEY ATTORNEYS AND 13 PRACTICES

Featured, June 1, 2023, *Day Pitney Press Release*

CHAMBERS USA 2022 RANKS 34 DAY PITNEY ATTORNEYS AND 13 PRACTICES

Featured, June 1, 2022, *Day Pitney Press Release*

CHAMBERS USA 2021 RANKS 31 DAY PITNEY ATTORNEYS AND 13 PRACTICES

Featured, May 21, 2021, *Day Pitney Press Release*

CHAMBERS USA 2020 RANKS 34 DAY PITNEY ATTORNEYS AND 12 PRACTICES

Featured, April 30, 2020, *Day Pitney Press Release*

CHAMBERS USA 2019 RANKS 38 DAY PITNEY ATTORNEYS AND 12 PRACTICES

Featured, May 8, 2019, *Day Pitney Press Release*

EDUCATION AND CREDENTIALS

EDUCATION

Columbia Law School, J.D., TEST

Princeton University, A.B., TEST

ADMISSIONS

State of New Jersey

State of New York

RECOGNITION AND COMMUNITY

RECOGNITION

Chosen for inclusion in the *Chambers USA Legal Directory* (Chambers & Partners) of recognized practitioners for Corporate/M&A attorney, 2015, 2018-2023

Chosen for inclusion in *The Best Lawyers in America* (Woodward/White, Inc.), Corporate Law, 2006-2024, Mergers & Acquisitions Law, 2006-2024, Securities Regulation, 2011-2024

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